



PART 1 - Application for EXTENSION of the research study

Please download the form, fill the details, sign, scan and send by email or submit at by

Due date

- 1. IEC UoH Office: iecuohoffice@uohyd.ac.in**
- 2. Member Secretary IEC - iecmembersecretary@uohyd.ac.in**
- 3. Member Convenor IEC - ieconvenor@uohyd.ac.in**

| | | |
|-----|--|------------|
| 1. | Date: | |
| 2. | Name of the Principal Investigator: | |
| 3. | School/Department/Centre: | |
| 4. | Institution | |
| 5. | Prior IEC ID Number/s: | |
| 6. | Protocol title: | |
| 7. | Date of UOH-IEC initial approval | From To |
| 8. | Dates of Approval of amendments if any: | From To |
| 9. | Dates of previous extension of IEC clearance if any | From To |
| 10. | Date of submission of the last continuing review application form: | |
| 11. | Any lapse in UOH-IEC clearance validity: | |
| 12. | Sample size approved at this site | |
| 13. | Number of participants screened so far | |
| 14. | Number of participants recruited so far | |
| 15. | Number of participants who are ongoing | |
| 16. | Number of participants who have completed the study | |
| 17. | Projected duration of study at the time of first UOH-IEC approval | |
| 18. | Duration of study completed so far | |
| 19. | Expected duration in months to complete the study | |

I declare that the above information is accurate and true. I request UOH-IEC Ethics Committee to grant me extension of approval to conduct the study, with all the other terms of reference and conditions remaining unchanged.

Signature of the PI

Date:

Signature of the co-investigator/research supervisor in case of student



PART 2 - Form for Approval of **PROTOCOL AMENDMENT**

Please download the form, fill the details, sign, scan and send by email or submit by due date.

1. IEC UoH Office: iecuohoffice@uohyd.ac.in
2. Member Secretary IEC - iecmembersecretary@uohyd.ac.in
3. Member Convenor IEC - ieconvenor@uohyd.ac.in

| <p>Protocol Number (assigned by UOH-IEC):</p> <p>Protocol title (as approved by UOH-IEC):</p> <p>Name of the PI:</p> <p>School/Department/Centre:</p> <p>Names of all the research team members:</p> <p>Issue and expiry dates of UOH-IEC initial approval:</p> <p>Issue and expiry date(s) of UOH-IEC extensions of approval (list all):</p> <p>Date(s) of previous amendment approvals, if any:</p> | | | | | | | | | | | | | | | | | | | | | | | | |
|---|-------------------------------------|-------------------------------------|--|--------------------|---|---|------------------------|--------|-------------------------------|--------|---|--------|--|--------|--|--|--|--|----|--|--|--|--|--|
| <p>List of documents (with version numbers) previously approved (keep adding numbered rows):</p> <ol style="list-style-type: none"> 1. 2. 3. | | | | | | | | | | | | | | | | | | | | | | | | |
| <p>Overview of documents in which the amendment is proposed:</p> <p>Protocol:</p> <table style="width: 100%; border: none;"> <tr> <td style="padding-left: 20px;">Change in title:</td> <td style="text-align: right;">Yes/No</td> </tr> <tr> <td style="padding-left: 20px;">Change in research team members (persons or order of investigators):</td> <td style="text-align: right;">Yes/No</td> </tr> <tr> <td style="padding-left: 20px;">Change in supervisor (for MPhil/Ph.D. studies):</td> <td style="text-align: right;">Yes/No</td> </tr> <tr> <td style="padding-left: 20px;">Change in sample size:</td> <td style="text-align: right;">Yes/No</td> </tr> <tr> <td style="padding-left: 20px;">Change in sampling technique:</td> <td style="text-align: right;">Yes/No</td> </tr> <tr> <td style="padding-left: 20px;">Change in inclusion/exclusion criteria:</td> <td style="text-align: right;">Yes/No</td> </tr> <tr> <td style="padding-left: 20px;">Change in any other part of the methodology:</td> <td style="text-align: right;">Yes/No</td> </tr> </table> <p>Case record form: Yes/No</p> <p>Participant Information Sheet: Yes/No</p> <p>Informed Consent Form: Yes/No</p> <p>Questionnaire (if any): Yes/No</p> <p>Any other (specify): Yes/No</p> | Change in title: | Yes/No | Change in research team members (persons or order of investigators): | Yes/No | Change in supervisor (for MPhil/Ph.D. studies): | Yes/No | Change in sample size: | Yes/No | Change in sampling technique: | Yes/No | Change in inclusion/exclusion criteria: | Yes/No | Change in any other part of the methodology: | Yes/No | | | | | | | | | | |
| Change in title: | Yes/No | | | | | | | | | | | | | | | | | | | | | | | |
| Change in research team members (persons or order of investigators): | Yes/No | | | | | | | | | | | | | | | | | | | | | | | |
| Change in supervisor (for MPhil/Ph.D. studies): | Yes/No | | | | | | | | | | | | | | | | | | | | | | | |
| Change in sample size: | Yes/No | | | | | | | | | | | | | | | | | | | | | | | |
| Change in sampling technique: | Yes/No | | | | | | | | | | | | | | | | | | | | | | | |
| Change in inclusion/exclusion criteria: | Yes/No | | | | | | | | | | | | | | | | | | | | | | | |
| Change in any other part of the methodology: | Yes/No | | | | | | | | | | | | | | | | | | | | | | | |
| <p>Detailed description of the amendment(s) (add rows as necessary):</p> <table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <thead> <tr> <th style="width: 5%;">S. No</th> <th style="width: 25%;">Name/part of the document (Specify)</th> <th style="width: 15%;">Original approved content</th> <th style="width: 15%;">Amendment proposed</th> <th style="width: 15%;">Justification</th> <th style="width: 20%;">Reviewer's comment: Acceptable/ Not acceptable/ More information needed</th> </tr> </thead> <tbody> <tr> <td>1.</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>2.</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>3.</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table> | S. No | Name/part of the document (Specify) | Original approved content | Amendment proposed | Justification | Reviewer's comment: Acceptable/ Not acceptable/ More information needed | 1. | | | | | | 2. | | | | | | 3. | | | | | |
| S. No | Name/part of the document (Specify) | Original approved content | Amendment proposed | Justification | Reviewer's comment: Acceptable/ Not acceptable/ More information needed | | | | | | | | | | | | | | | | | | | |
| 1. | | | | | | | | | | | | | | | | | | | | | | | | |
| 2. | | | | | | | | | | | | | | | | | | | | | | | | |
| 3. | | | | | | | | | | | | | | | | | | | | | | | | |



Part B (Additional ethical considerations): (Use separate sheet if required)

| | | Details/justification | Reviewers' assessment |
|---|-------------------------------------|-----------------------|-----------------------|
| Will the amendment affect the scientific integrity of the study? | Yes/ No | | |
| Will the amendment change the risk to the participants? | (Increase/ decrease/ no change) | | |
| Will the amendment change the benefits to participants? | (Increase/ decrease/ no change) | | |
| Will the amendment require change in the content of the participant information sheet and/or the informed consent form? | Yes/ No | | |
| P.I Proposed changes with the samples/data already collected? | Include/ exclude in data analysis | | |
| If included, how would it impact the consent already provided | No impact/ re-consent will be taken | | |

Signature of the PI with date:

Signature of the supervisor with date for MPhil and Ph. D studies:

Note to the PI and responsibility of the PI

- 1. Incomplete forms will not be accepted.*
- 2. Any request for amendment of protocol will only be considered if applied for prospectively*
- 3. Include every change in the protocol clearly in the application form for amendment point by point*
- 4. Highlight all the changes made in the amended protocol documents (soft and hard copy), update the version number, insert page numbers, and reflect these changes in the table given above.*
- 5. Other research team members (or supervisor where applicable) should be informed about all the changes made in the documents and seek their approval before submitting to UOH-IEC.*
- 6. Implement the amended version of the protocol only after it is approved by UOH-IEC.*
- 7. Any changes made in the protocol without prior UOH-IEC approval will be considered as protocol deviation/violation and is therefore strongly discouraged.*



Mandatory requirement for both extension and amendment

Human Research during COVID-19 pandemic times

1. Highlight the steps of how you will take to ensure health/safety/wellbeing/protection of stakeholders of this research during COVID – 2019 times. Also clearly indicate whether you also seek approval for virtual modes of primary data collection.